

Date.....

According to I/we,.....("the Client"), agreed to open a Securities Trading Account and/or Derivatives Trading Agreement and/or Fund Unit Trading Account including related securities transactions involving with CGS-CIMB Securities (Thailand) Co. Ltd. ("the Company"). I/We wish to open additional account with company. I/we agreed to comply by the terms and conditions under the terms of the Agency/Brokerage Agreement and/or the Fund Unit Trading Agency/Brokerage Agreement and/or Derivatives Trading Agreement and/or related securities transactions or all any agreements as follows:

Detail Account Types to Additional				
Transaction types	☒ Identify Account types and Acc. No. (Currently)		☒ Identify Account types (To Additional)	
Equities Trading	☐ Cash Basis ☐ Cash Balance ☐ Cross Border Trading ☐ Credit Balance ☐ ELN	Acc. No. Acc. No. Acc. No. Acc. No. Acc. No.	☐ Cash Basis ☐ Cash Balance ☐ Cross Border Trading * Please specifies currency, If not specifies the company all rights reserved to USD ☐ CNY ☐ EUR ☐ GBP ☐ HKD ☐ SGD ☐ USD ☐ Cross Border Trading , For Vietnam Market ☐ Credit Balance ☐ ELN	
Derivatives Trading (TFEX)	☐ TFEX	Acc. No.	☐ TFEX STREAMING ☐ TFEX - MT4	
Fund Unit Trading	☐ Fund Mart	Acc. No.	☐ Fund Mart	
Bond Trading	☐ Bond	Acc. No.	☐ Bond	
Securities Borrowing or Lending	☐ SBL	Acc. No.	☐ SBL	
Other transactions	☐	Acc. No.	☐	
Securities Saving	☐ Easy Wealth Builder ☐ Every date 5 th of month ☐ Every date 15 th of month ☐ Every date 25 th of month	Acc. No. Acc. No. Acc. No.	☐ Easy Wealth Builder ☐ Date 5 th of month Investment Fund THB ☐ Date 15 th of month Investment Fund THB ☐ Date 25 th of month Investment Fund THB	Securities to buy / Amount ①/.....THB ②/.....THB ③/.....THB ④/.....THB ⑤/.....THB ⑥/.....THB ⑦/.....THB ⑧/.....THB ⑨/.....THB ⑩/.....THB
Rational required to add account (identify more than one items) ☐ To increase investment channels ☐ To increase Investment Consultant /Marketing Officer more than one person for counseling investment. ☐ To increase transaction ☐ Others (if any).....				
Trading Channels of additional account ☐ Via the Investment Consultant / Marketing Officer ☐ Via the Internet / Information Technology Systems, identify Email Address.....				
Transaction Channels Related to Agreement of additional account ☐ Via the Internet System / Information Technology Systems ♦ In the case where the Client does not wish to take action via the internet / information technology systems, the Company will employ such mode or procedure as specified by the Company. ♦ The Company requires that Clients with Easy Wealth Builder accounts take action via only the internet / information technology systems.				

Terms Condition and Client Testimonial

1. The client hereby agrees to accept and consent to comply under the terms of the agreement by opening additional accounts in all respects and deemed to this additional accounts request form is a part of agreement.
2. The client agrees to accept and consents to comply with announcement, regulations, orders, rules issued by the company. The securities regulatory entities e.g. The Stock Exchange of Thailand, The Securities and Exchange Commission Association, The Securities Association of Thailand etc. By regardless of the aforementioned announcement, regulations, orders, rules were issued before/or while the client did this additional of type account request form or maybe have in the future and including the other services of company that give service now or in the future. The client shall be deemed to be binding from the date of signing the form of this additional account. If there is any damage, the client agreed to be responsible for all.
3. For the purpose of preventing or ceasing unfair acts or improper investments or transactions, the client agrees to:
 - (1) the client agrees to notify and provide the following information, and clarify such information in detail to the company, the Stock Exchange of Thailand, the Securities Depository, the securities registrar, the clearing house or the SEC Office upon request, or according to the rules as specified by those organizations:
 - (a) identifiable information manifesting the client and all persons who are the beneficial owners of listed securities trading regardless of directly or indirectly;
 - (b) the purposes of securities trading;
 - (c) the securities trading information of the client;
 - (d) If the customer order trading for several other persons or multiple accounts via anonymous account (omnibus account) The client agree to notify and provide the information specified under (a), (b) and (c) of such every other person of an omnibus account to the extent that the client knows or should have known as a result of taking action such omnibus account.
 - (2) the client gives consent to the company for temporarily suspending services as broker of listed securities, closing a trading account, limiting trading volume, or performing any other act relating to listed securities trading of the client in order to comply with the order of the Stock Exchange of Thailand, the Securities Depository, the securities registrar, the clearing house or the SEC Office in cases where it appears the following facts:
 - (a) listed securities trading by the client has or may have an impact on the orderly trading of listed securities, or has caused or may cause the trading price of the listed securities to deviate from normal market conditions;
 - (b) the client's listed securities trading behavior is inappropriate or may contravene the law on securities and exchange.
 - (c) the client fails to notify, provide information or prepare clarification under sub-clause (1), or provides false or materially misleading information.
4. The client hereby agrees to accept that in case of the client requests additional accounts, allows the Company to bring relevant information of the client in the company's database for complement to consider the additional accounts. The client has also verified and confirmed that the information is correct and true.

Signature.....Client
(.....)

For Staff / Officer of CGS-CIMB Securities (Thailand) Co., Ltd.

Referable as of Client Account Information No.....

Checking information and document basis

- ☐ The signature of client is authentic
- ☐ A copy of the ID card/Passport with certify the accuracy
- ☐ A copy of the house registration with certify the accuracy
- ☐ Others document (if any).....

Signature..... Investment Consultant
(.....)

Department/Unit.....

Trader ID.....

Branch.....Code Branch.....

Date.....

Approval / Opinion

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Signature.....
(.....)

Position ☐ Branch Manager.....

☐ Team Leader.....

☐ Specify.....

Date.....